

ProxyVote **Plus**, LLC



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This Brochure Supplement provides information about ProxyVote Plus's personnel who formulate proxy voting advice and who have direct client contract: Craig Rosenberg, Yvette Gonzalez and Anne Catherine Benedict Sen. You should consider this information in addition to the information set forth in the main part of ProxyVote Plus's Brochure. If you have any questions about this Supplement, please contact us at gedmunds@proxyvoteplus.com.

CRAIG M. ROSENBERG

Educational Background and Business Experience

Craig Rosenberg founded ProxyVote Plus in 2002, and serves as the firm's President and Manager. From 2002 – 2021, he also served as the firm's Chief Compliance Officer. From 1997 – 2002, he was Vice President and Director of Taft-Hartley Shareholder Services, a Division of Institutional Shareholder Services/Proxy Monitor.

Mr. Rosenberg received his Bachelor of Arts from the University of Illinois at Champaign-Urbana in 1984, and his Juris Doctor from The George Washington University Law School in 1987. He was born in 1962.

Disciplinary Information

None

Other Business Activities

None

Additional Compensation

None

Supervision

Mr. Rosenberg's advisory activities are generally supervised in accordance with the firm's compliance procedures, by the firm's Chief Compliance Officer, Gayle Edmunds, and his personal investing activities are reviewed by Yvette Gonzalez, the company's Executive Vice President. Ms. Edmunds can be reached at gedmunds@proxyvoteplus.com; Ms. Gonzalez can be reached at ygonzalez@proxyvoteplus.com.

ANNE CATHERINE BENEDICT SEN

Educational Background and Business Experience

Since 2007, Ms. Sen has served as the Director, Proxy Voting and Research at ProxyVote Plus, LLC. From 2004 - 2007, she was a Research Analyst, United Brotherhood of Carpenters; from 2001 - 2004, she was a Researcher, New England Regional Council of Carpenters. Ms. Sen received her B.A. from the University of California - Santa Cruz in 1992. She was born in 1964.

Disciplinary Information

None

Other Business Activities

None

Additional Compensation

None

Supervision

Ms. Sen's activities are generally supervised by the company's Chief Compliance Officer, Gayle Edmunds, in accordance with the firm's written compliance procedures. Ms. Edmunds can be reached at gedmunds@proxyvoteplus.com.

YVETTE GONZALEZ

Educational Background and Business Experience

Since 2002 Yvette Gonzalez has served as Director of Operations at ProxyVote Plus LLC. She previously served as Client Services Coordinator at Taft-Hartley Shareholder Services, a division of Proxy Monitor. She received her Bachelor of Arts degree in Economics from the University of Illinois. She was born in 1974.

Disciplinary Information

None

Other Business Activities

None

Additional Compensation

None

Supervision

Ms. Gonzalez's activities are generally supervised by the company's Chief Compliance Officer, Gayle Edmunds, in accordance with the firm's written compliance procedures. Ms. Edmunds can be reached at gedmunds@proxyvoteplus.com.